# IAP13 Rec'd PCT/PTO 05 OCT 2006

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

	TRA	NSMITTAL LETTER 1	O THE UNITED STATES	ATTORNEY'S DOCKET NUMBER			
	D	ESIGNATED/ELECTE	039386-2277				
	C	ONCERNING A FILING	S UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/554,917			
INT	ERNATIO	NAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED			
ĺ	PCT/US2	004/009215	March 24, 2004	4/30/2003			
דודן		VENTION					
AP	KINASES AND PHOSPHATASES  PPLICANT(S) FOR DO/EO/US						
Λ	Vicki S. E	LLIOTT et al.	ates Designated/Elected Office (DO/EO/US)	the following items and other information:			
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.					
2.	$\boxtimes$	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.					
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4.		The US has been elected (Article 31).					
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
		is attached hereto (requ	uired only if not communicated by the Intern	ational Bureau).			
		☐ has been communicate	ed by the International Bureau.				
		is not required, as the a	application was filed in the United States Re	ceiving Office (RO/US)			
6.		An English language translation	on of the International Application as filed (3	5 U.S.C. 371(c)(2)).			
		is attached hereto.					
_		· · · · · · · · · · · · · · · · · · ·	bmitted under 35 U.S.C. 154(d)(4).	-I- 40 (25 II S.C. 271(a)(2))			
7.	Ш	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  are attached hereto (required only if not transmitted by the International Bureau).					
			ted by the International Bureau.	ional Bareasy.			
		have not been made; however, the time limit for making such amendments has NOT expired.					
		have not been made at	nd will not be made.				
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Iten	ns 11 to 2	0 below concern other docu	ment(s) or information included:				
11.		An Information Disclosure Sta	tement under 37 CFR 1.97 and 1.98.				
12.		An assignment document for r	ecording. A separate cover sheet in compl	iance with 37 CFR 3.28 and 3.31 is included.			
13.		A preliminary amendment.					
14.		An Application Data Sheet und	der 37 CFR 1.76.	,			
15.		A substitute specification.					
16.		A power of attorney and/or cha	<del>-</del>				
17.	$\boxtimes$	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825					
18.		A second copy of the published	d international application under 35 U.S.C. 1	54(d)(4).			
19.		A second copy of the English I	anguage translation of the international app	lication under 35 U.S.C. 154(d)(4).			
	⊠ 	Other items or information: Submission of Petitions; Petitions Under 37 CFR 1.47(a) for inventors Li, Lu, Wilson, Lee, Ison, Gandhi, Gururajan, Thornton, Nguyen, Lee, Swarnaker, and Marquis (Exhibits A-L); Petition for Extension of Time; Statement to Support Filing Under 37 CFR 1.821-1.825, Statement Concerning Nucleotide and/or Amino Acid Sequence Listing; Copy of Notification of Missing Requirements.					
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	10/554,91		JW11, 300 07 01 1		PCT/US2004/09215			86-227	77		
	The	followin	g fees have	been sul	omitted:						
		asic natio				\$	300	\$			
		caminatio		ntion rone	of propered by LICOTO a	ad all alain	na antinfi				
	If International preliminary examination report prepared by USPTO and all cl provisions of PCT Article 33(1)-(4)					ns satisty 100	\$				
	All other situations \$200										
	23. Search fee										
	Search fee (3	7 CFR 1	.445(a)(2))	has been	paid on the international	applicati	on to the				
	USPTO as	an Inter	national Sea	rching Au	uthority	\$	100				
	International S	International Search Report prepared and provided to the Office \$400					400				
	All other situal			,			500	\$	ļ		
	TOTAL OF AB			OVE 21,	22 and 23 =			\$			
	⊠ Additiona				wings filed in paper over	100 sheet	s (excluding	+			
	sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.										
	Total Sheets	Extra	sheets		r of each additional 50 or		RATE	7			
				thereof	(round up to a whole num	ber)			050.00		
	=	49	/=		1		x \$250.00	\$	250.00		
	Surcharge of \$130.00 for furnish earliest claimed priority date (37			ing the oath or declaration later than 30 months from the CFR 1.492(e))		\$	130.00				
	CLAIMS	<del></del>	NUMBER	FILED	NUMBER EXTRA	F	RATE	\$			
	Total Clai	ms	39	- 39 =	0	x \$	50.00	\$	•		
	Independent		2	- 2 =	0	x\$	200.00	\$	0.00		
			NT CLAIM	S) (if app	licable)	+ \$	360.00	\$			
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$ 360.00  TOTAL OF ABOVE CALCULATIONS =								380.00	L	
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are + reduced by ½.					\$	0.00				
					-	`					
	SUBTOTAL					UBTOTAL =	\$	380.00			
	Processing fee of 130.00 for furnishing the English translation later than 30 months					\$					
	from the earliest claimed priority date (37 CFR 1.492(i)).										
	TOTAL NATIONAL FEE =					380.00					
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be						\$				
	accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property										
10/11/2006	1/2006 MKAYPAGH 00000114 10554917 TOTAL FEES ENCLOSED						NCLOSED =		380.00	•	
01 FC:1681 250.00 OP							/	Amount to be refunded:			
02 FC:1617			130.00	OP					charged:		
	a. 🗌 A	check in	n the amou	nt of 38	0.00 to cover the above	fees is	enclosed.	_1			
	<sub></sub>	2005 5L		onocił A	coount No. 40 0744 in	the ema	unt of 200 C	10 to or	wer the above f	005	
	b. Please charge my Deposit Account No. <u>19-0741</u> in the amount of 380.00 to cover the a A duplicate copy of this sheet is enclosed.						iver the above i	ccs.			
	c. The Commissioner is hereby authorized to charge any additional fees voverpayment to Deposit Account No. 19-0741. A duplicate copy of this s							ed, or credit any			
	d.  Fees are to be charge to a credit card. WARNING: Information on this form may become publinformation should not be included on this form. Provide credit card information and author 2038.					ic. <b>Credit card</b> rization on PTO-					
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						revive (37 CFR us.				
	SEND ALL CORRESPONDENCE TO:							<u> </u>			
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### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/554,917

FIRST NAMED APPLICANT Vicki S. Elliott

ATTY. DOCKET NO.

0/554917

INTERNATIONAL APPLICATION NO.

PCT/US04/09215

I.A. FILING DATE

PRIORITY DATE

03/24/2004

04/30/2003

**CONFIRMATION NO. 9780 371 FORMALITIES LETTER** 

\*OC000000019090790\*

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

Date Mailed: 06/05/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/31/2005
- Copy of the International Search Report filed on 10/31/2005
- Preliminary Amendments filed on 10/31/2005
- U.S. Basic National Fees filed on 10/31/2005
- Priority Documents filed on 10/31/2005
- Specification filed on 10/31/2005
- Claims filed on 10/31/2005
- Abstracts filed on 10/31/2005
- Paper nucleotide sequence listings filed on 10/31/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$380 for a Large Entity:

## • \$130 Surcharge.

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- The fee for submitting a specification and drawing containing more than 100 pages has not been paid in full. Applicant owes \$250 for 49 pages in excess of 250 pages for a large entity.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

# PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.		
10/554,917	PCT/US04/09215	10/554917		

FORM PCT/DO/EO/905 (371 Formalities Notice)